

## Warranty Statement

Signing this Warranty Statement does not bind the applicant or underwriters to complete the insurance, but it is agreed that the statements and particulars contained herein will be relied upon by the Underwriters and will form the basis of any policy issued by underwriters and will be deemed to be incorporated within such Policy.

Any policy issued will provide coverage on a "Claims Made" basis. The policy will only respond to claims and/or circumstances, which are first made against the Insured and notified to the Insurer during the policy period.

<b>1. Please state the name and address of the Company for whom this insurance is required.</b>			
<i>Company:</i>			
<i>Street:</i>		<i>City:</i>	
<i>Province:</i>		<i>Postal Code:</i>	
<b>2. Revenue (from last complete financial year):</b>			
<b>3. Network Security and Data Management:</b>			
<i>Do you and your subsidiaries comply with all the requirements outlined below:</i>		<b>Yes</b>	<b>No</b>
<ul style="list-style-type: none"> <li>a) <i>You have an IT security policy in place that governs the processing and storage of personal data that complies with local laws.</i></li> <li>b) <i>You have firewalls installed on all external gateways to protect the computer network.</i></li> <li>c) <i>You have anti-virus or industry recognized endpoint protection solution on all endpoints, with vendor updates deployed within 7 days of release.</i></li> <li>d) <i>You require passwords which meet minimum standards of complexity that are amended from vendor-supplied or default passwords.</i></li> <li>e) <i>You carry out backups of critical data at least weekly of all critical data and this is stored offline and/or immutable. If the backup process is outsourced, the third party meets this requirement.</i></li> <li>f) <i>You require VPN for remote access to the company network and multi-factor authentication for all remote access.</i></li> <li>g) <i>If you accept credit card payments, all of your (or your outsourced service providers') point of sale devices have end-to-end encryption (E2EE) or point-to-point (P2PE) deployed.</i></li> <li>h) <i>Where you hold any personal or sensitive information (e.g. PII or PHI) this is encrypted at rest (or this is encrypted by your cloud provider).</i></li> <li>i) <i>You have a review process to screen content and matter disseminated via your website and social media channels.</i></li> </ul>			
<b>Limit Required</b>			
\$25,000	\$50,000	\$100,000	\$250,000



<b>4. Claims and Circumstances:</b>		
<i>During the last three years have you, or any of your subsidiaries:</i>	Yes	No
a) <i>Been subject to any claims, complaints, fines, or penalties in relation to the risks that this policy relates to.</i>		
b) <i>Received notice or become aware of any circumstances or complaints about you relating to data protection or data security.</i>		
c) <i>Sustained any unscheduled or unintentional network outages, loss of data, intrusion, or corruption.</i>		
d) <i>Had any claims or circumstances that would have triggered the policy that this application relates to.</i>		
<b>5. Optional Cybercrime Limit</b>		
a) <i>Prior to transferring funds to new accounts or changing existing account details, is the request verified using an authentication method different from the one used to submit the request?</i>	Yes	No
b) <i>Are electronic fund transfers and cheques over \$25,000 subject to dual review and authorization by at least two staff members?</i>	Yes	No
<b>Cybercrime Limit</b>		
\$25,000	\$50,000	\$100,000

*The misrepresentation or non-disclosure of any material fact by the applicant will render any policy issued null and void and relieve the underwriters from all liability therein.*

*I declare that the statements and particulars made in this Warranty Statement are true and that I have not misstated or suppressed any material facts.*

*I agree that in the event there is any material change to the statements made herein prior to the effective date of the policy, I will notify underwriters and outstanding quotations may be modified or withdrawn.*

*This Warranty Statement must be signed by an authorized representative of the applicant.*

*Signed:*

*Date:*

*Name:*

*Title:*